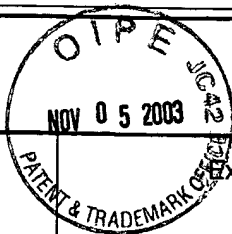


TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.
4497A

In Re Application Of: **Gabriel E. Uzunian, et al.**



Serial No.
10/624,837

Filing Date
July 21, 2003

Examiner
-

Group Art Unit
1755

Title: **THE USE OF EFFECT PIGMENTS IN INGESTED PRODUCTS**

Address to:
Assistant Commissioner for Patents
Washington, D.C. 20231

37 CFR 1.97(b)

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application; within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or before the mailing date of a first Office Action on the merits, whichever event occurs last.

37 CFR 1.97(c)

2. ☐ The Information Disclosure Statement submitted herewith is being filed after three months of the filing of a national application, or the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; or after the mailing date of a first Office Action on the merits, whichever occurred last but before the mailing date of either:

1. a Final Action under 37 CFR 1.113, or
2. a Notice of Allowance under 37 CFR 1.311,

whichever occurs first.

Also submitted herewith is:

- ☐ a certification as specified in 37 CFR 1.97(e);

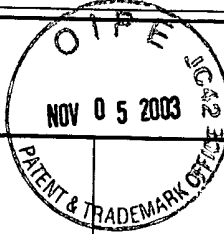
OR

- ☐ the fee set forth in 37 CFR 1.17(p) for submission of an Information Disclosure Statement under 37 CFR 1.97(c).

TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT
(Under 37 CFR 1.97(b) or 1.97(c))

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1755

Title: **THE USE OF EFFECT PIGMENTS IN INGESTED PRODUCTS**

Payment of Fee


(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of _____ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. _____ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of _____
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.

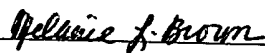
Certificate of Transmission by Facsimile*

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fax. No. _____) on _____ (Date)
_____ Signature
_____ Typed or Printed Name of Person Signing Certificate

Certificate of Mailing by First Class Mail

I certify that this document and fee is being deposited on <u>August 20</u> 2003 with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.
 Signature of Person Mailing Correspondence
Janet Szot Typed or Printed Name of Person Mailing Correspondence

*This certificate may only be used if paying by deposit account.



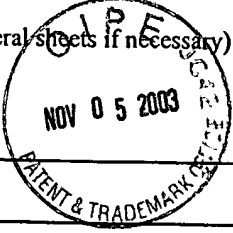
Signature

Melanie L. Brown, Reg. No. 31,592

Dated: August 20, 2003

CC:

APPLICANT'S ART CITATION (Use several sheets if necessary)								Application Serial No. 10/624,837				Docket No. 4497A			
								Applicant Gabriel E. UZUNIAN, et al.							
								Filing Date July 21, 2003				Group Art Unit 1755			



U.S. PATENT DOCUMENTS													
Examiner Initial	Document Number							Date	Name	Class	Sub-class	Filing Date If Appropriate	
	*5	4	3	3	9	5	6	7/18/1995	Patel				
	*5	2	4	4	6	6	9	9/14/1993	Satoh, et al.				
	*4	9	9	6	0	6	7	2/26/1991	Kobayashi, et al.				
	*5	5	4	1	2	3	1	7/30/1996	Ruff, et al.				
	*3	6	5	8	5	5	2	4/25/1972	Carlson, et al.				
	*5	8	5	8	0	7	8	1/12/1999	Andes, et al.				
	*3	1	3	8	4	7	5	6/23/1964	Schroder, et al.				
	*3	3	4	0	0	0	6	9/5/1967	Mochel				
	*3	3	9	5	2	0	3	7/30/1968	Morita				
	*3	5	8	2	3	8	2	6/1/1971	Watanabe, et al.				

FOREIGN PATENT DOCUMENTS													
	Document Number							Date	Country	Class	Sub-class	Translation	
												Yes	No
	*2	2	5	7	4	33	A	1/13/1993	United Kingdom				
	*0	0/	0	3	6	0	9	1/27/2000	PCT			X	
	*0	6	4	9	8	16	A1	4/26/1995	European			X	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	* Abstract (esp@cenet); DE4214367; Gerhard, et al.; Colour pigment particle for use with foodstuff - comprises aluminum body with overcoat film of ferric oxide; published Nov. 11, 1993.
	* Patent Abstracts of Japan; 07223816; Yukie, et al.; Photochromic Composite Material, Production Thereof and External Agent for Skin; published Aug. 22, 1995.
	* Abstract (Derwent/WPI); JP1264932A; Ishihara Sangyo Kaisha Ltd.; Manufacture Acicular Titanium Dioxide Pigment React Acid Alkali Tin Salt Aqueous Titanium Dioxide Slurry Effect Surface Coating; Oct. 23, 1989.
	* Abstract (DialogIP); JP 61268620 A; Matsumoto Yushi Seiyaku KK; Granular drug composition for animals - obtained by spraying dispersion of crystallising substance in fused mixture of drug and wax; May 22, 1985.

Examiner	Date Considered
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EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re patent application of

Applicant: Uzunian, et al.
Serial No.: 10/624,837
Date Filed: July 21, 2003
Title: THE USE OF EFFECT PIGMENTS IN INGESTED PRODUCTS

October 28, 2003

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

1. Pursuant to 37 C.F.R. 1.97 and 1.98, and in compliance with 37 C.F.R. 1.56, the Office's attention is directed to the patents, publications and other information listed on the attached PTO-1449. A copy of each listed document is enclosed, except for: (a) pending applications or (b) those previously cited or submitted to the Office in the following application(s) upon which this application relies for an earlier filing date under 35 U.S.C. 120.

Serial No.:
Filing Date:

- a) This is a supplemental Information Disclosure Statement under 37 CFR 1.99; a previous Information Disclosure Statement was filed on August 20, 2003.
- b) This Supplemental Information Disclosure Statement is being submitted as the information was brought to the attention of applicant.

CERTIFICATE OF MAILING UNDER 37 C.F.R. 1.8

I hereby certify that this correspondence (along with any paper referenced as being attached or enclosed) is being deposited on the date shown below with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450.

Date: October 28, 2003

Janet Szob

2. Regarding each listed document that is not in the English language, an English-language translation accompanies this Statement as indicated on the attached PTO-1449 or a concise explanation of the relevance of the document is set forth in the following document(s):

- (a) ☐ Copy of a search report indicating the degree of relevance found by the foreign office of each document being submitted from the search report.
- (b) ☐ Attachment entitled "Concise Explanation of Relevance of Non-English Language Documents".
- (c) ☐ Abstracts by recognized library services.

3. Pursuant to 37 C.F.R. 1.97(b) this Statement is being filed (one must be checked):

- (a) ☐ Within 3 months of the filing date or date of entry into the National Stage.
- (b) ☒ Before the mailing date of a first Office Action on the merits. If this Statement is not filed before the mailing date of a first Office Action on the merits, the required certification is given below or, in the absence thereof, the Office is authorized to charge the required fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070 for consideration of this Statement.
- (c) ☐ After the period set forth in 37 C.F.R. 1.97(b) but before the mailing date of either a final action or a notice of allowance.
 - (1) ☐ The required certification is given below, or
 - (2) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(p) for consideration of this Statement, or
 - (3) ☐ Charge the fee set forth in 37 C.F.R. 1.17(p) to Deposit Account No. 05-1070.
- (d) ☐ After the mailing date of either a final action or a notice of allowance, but before payment of the issue fee. Petition hereby is made for consideration of this Statement and the required certification is indicated below.
 - (1) ☐ Enclosed is a check covering the fee set forth in 37 C.F.R. 1.17(i)(1), or
 - (2) ☐ Charge the fee set forth in 37 C.F.R. 1.17(i)(1) to Deposit Account No. 05-1070.

4. _____ The undersigned hereby certifies that no item of information contained in this statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the undersigned's knowledge after making a reasonable inquiry, was known to any individual designated in 37 C.F.R. 1.56(c) more than three months prior to the filing of this statement.

5. The Commissioner is authorized to charge any fee, which may be required for consideration of this Statement or credit any overpayment to Deposit Account No. 05-1070.

Respectfully Submitted,

By: Melanie L. Brown
Melanie L. Brown
Reg. No. 31,592

ENGELHARD CORPORATION
101 Wood Avenue
P.O. Box 770
Iselin, NJ 08830-0770
(732) 205-5181

INFORMATION DISCLOSURE CITATION (Use several sheets if necessary)

Docket Number (Optional)

4497A

Application Number

10/624,837

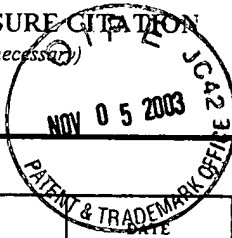
Applicant(s)

ENGELHARD CORPORATION

Filing Date

July 21, 2003

Group Art Unit



U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		5,611,851	3/18/1997	DeLuca, et al.	106/415		

FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO
		WO98/56261	12/17/1998	PCT				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.